

Export Compliance (EC)

Course Catalog

CITI Program's EC series provides an introduction to export compliance, as well as role and responsibility tailored modules reflecting key individuals and departments across organizations that must adhere to export compliance regulations.

This catalog provides a listing and description of each module within the EC series, as well as their recommended use, continuing education (CE) eligibility, and language availability.

Subscription Information

The EC series is available to subscribing organizations starting at \$300 USD/year, which is in addition to the **base subscription fee**. Independent Learner registration is available for \$110 USD. For more information on subscriptions, **[click here](#)**.

In order to meet the needs of subscribing organizations, the CITI Program can assist administrators in creating courses that best meet their organizational needs, including combining modules from across the CITI Program. To discuss course recommendations that combine modules from different CITI Program offerings, please contact the CITI Program Help Desk at **support@citiprogram.org** or (888) 529-5929.

Table of Contents

Basic Course	
EC Course	3

Basic Course

For recommendations on how to use the basic EC course, see the [Using CITI Program Content: Export Compliance \(EC\)](#) document.

Export Compliance (EC)

Module Title	Recommended Use	ID (Language)
Introduction to Export Compliance	Required	16800 (English)
Export Compliance for Researchers: Part I	Supplemental	16801 (English)
Export Compliance for Researchers: Part II	Supplemental	16802 (English)
Export Compliance for Research Administrators	Supplemental	16803 (English)
Export Compliance and Biosafety	Supplemental	16805 (English)
Export Compliance for Operational Departments	Supplemental	16806 (English)
Export Compliance for International Shipping	Supplemental	16807 (English)
Export Compliance and Purchasing	Supplemental	16808 (English)
Export Compliance and International and Foreign Waters	Supplemental	16809 (English)
Export Compliance and Collaborations	Supplemental	16810 (English)
Export Compliance and United States Sanctions Programs	Supplemental	16812 (English)

Introduction to Export Compliance

Provides an overview of export controls, which are an ever-growing area of compliance for universities and research institutions across the United States and around the world. Export control laws and regulations affect a variety of activities, including many activities that take place physically within the U.S. An overview of the three primary U.S. regulatory agencies and their differences is also provided. It includes an in-depth discussion to help learners recognize when export controls may be applicable to various research activities.

Export Compliance for Researchers: Part I

Offers a review of how researchers can responsibly conduct export-controlled research activities, conveys accumulated best practices commonly accepted by the university export control community, and provides other useful information in complying with U.S. export control laws and regulations.

Export Compliance for Researchers: Part II

Expands on the impact of the export control regulations on the researcher and research activities. It discusses the export control concerns associated with the input, conduct, and output of research activities; the responsibilities and best practices employed by researchers engaging in activities subject to export controls; and the security measures typically implemented by researchers to protect export-controlled research programs and activities.

Export Compliance for Research Administrators

Provides an overview of export compliance concepts for research administrators. It discusses the difference between fundamental and proprietary research, as well as prohibited parties and embargoed/sanctioned countries. Included are concerns that may exist when working with individuals subject to export control regulations, basic concepts associated with foreign nationals partaking in research projects on campus, and why the project's location is essential for compliance with export control regulations.

Export Compliance and Biosafety

Identifies export-controlled biological material, technology, technical data, and equipment. It discusses export control implications of life science research involving biological material and biomedical applications, differentiations between export control regulations, and how dual use research of concern interconnects with the regulations.

Export Compliance and Operational Departments

Explores the key concepts and export compliance risks for employees of operational departments. It discusses the different types of organizational activities, common export compliance risks on campus, and export compliance checkpoints and risks to operational departments.

Export Compliance for International Shipping

Provides an overview of concepts for international shipping of export-controlled items from the United States to international locations. Basic terms used in international shipping are defined as well as an overview of the concepts of "know your customer" and "be familiar with your customer," the U.S. Department of Treasury's role in international shipping, steps an organization should take prior to exporting, and different shipping solutions.

Export Compliance and Purchasing

Presents issues ranging from vetting the vendor (vendorization) to procurement and identifying risks that are associated with various activities taking place, typically, within the purchasing/finance department. It also discusses classification numbers, Office of Foreign Assets Control (OFAC) regulations, and restricted party screening (RPS).

Export Compliance and International and Foreign Waters

Provides a basic understanding of U.S. export control laws and regulations as they affect research conducted outside of U.S. territorial waters. It identifies when items controlled under the export control regulations are considered exported from the U.S., when an item may require an export license, issues that may affect research being conducted in foreign waters, and when license exceptions may be applicable.

Export Compliance and Collaborations

Provides an understanding of collaborations, which are a significant element of many research enterprises. It offers an overview of the types of collaborations in which institutions of higher education involve themselves today, and how they can intersect with export and sanctions regulations. Additionally, it discusses topics such as traditional types of collaborations, regulatory safe havens, challenges presented by the global market, and types of risk mitigation for at-risk international collaborations.

Export Compliance and United States Sanctions Programs

Focuses on university activities that are subject to U.S. sanctions programs. It also identifies university activities that may require a general or specific license under Office of Foreign Assets Control (OFAC) sanctions, the scope of some of the general licenses and exemptions available under U.S. sanctions programs, and available OFAC resources and how to apply for a license.